

FRAMEWORKS FOR APPROVING UNIVERSITY POLICIES OR LEGALLY REQUIRED STATEMENTS AND OFS RETURNS

1. University Policies or Legally Required Statements

1.1 Decisions retained by the Governing Body

Legally required statements

- Modern Slavery Statement
- Gender Pay Gap Report (following review by People and Governance Committee)

OFS or CUC required statements

- Senior Staff Remuneration Framework
- Remuneration Annual Report and Statement
- Degree Outcomes Statement

Policies approved

- Conflicts of Interest
- Corporate Social Responsibility
- Risk Management Framework
- Risk Appetite
- Code of Conduct for Governors
- Procedure for investigating allegations against Governors

1.2 Delegated to committees or other individuals

Legally required statements approved by Vice-Chancellor or nominee

- Charity Information
- FOIA Publication Scheme

Policies approved by Committees or delegated if they have sub-committees

- Provision of non- audit services (Audit and Risk Committee (ARC))
- Counter Fraud (ARC)
- Bribery & Corruption including money laundering (ARC)
- Whistleblowing (ARC)
- Treasury Management Policy (Finance)
- Long Term Investment Policy (Finance)
- Student & Academic Policies and Regulations (Academic Council)

Policies approved by Vice-Chancellor or delegated via the Executive Delegation Framework

- Communications & Recruitment
- Contract approval
- Donations
- Estates & Facilities
- Expenses
- Financial Regulations & other Financial Policies
- Freedom of Information
- Health & Safety
- Information Security & GDPR and other Information & Library Services Policies

- People Policies
- Prevent
- Procurement
- Terms & Conditions of Employment

2. Office for Students (OfS) Returns

2.1 Decisions retained by the Governing Body

Published on the web

- Student Protection Plan

Returns approved

- Access & Participation Plan
- Financial & Student Number Forecasts & Commentary
- Signed Audited Financial Statements
- Annual Financial Return to OfS
- Prevent Accountability & Data Return

Returns submitted to GB

- External Auditor's Management Letter (reviewed by ARC)

2.2 Delegated to committees or other individuals

Approved by Vice-Chancellor or delegated via the Executive Delegation Framework

- Access & Participation Monitoring Plan
- Access & Participation Plan Impact Report
- Annual Fee limits
- Formula teaching capital monitoring
- Higher Education Early Statistics (HESES)
- National Student Survey to OfS contractor
- All HESA Returns
- Transparent Approach to Costing (TRAC) Return (TRAC processes and benchmarking reviewed by ARC)

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